



3621
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TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	09/882,733	
	Filing Date	June 15, 2001	
	First Named Inventor	Mohan Sankaran	
	Group Art Unit Number	3621	
	Examiner Name	James A. Reagan	
Total Number of Pages in This Submission	17*	Attorney Docket Number	23402-09419 (INFO-P016)

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
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<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) []
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<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input checked="" type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/>
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<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>

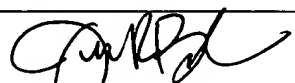
REMARKS: *Total Number of Pages in This Submission does not include cited references.

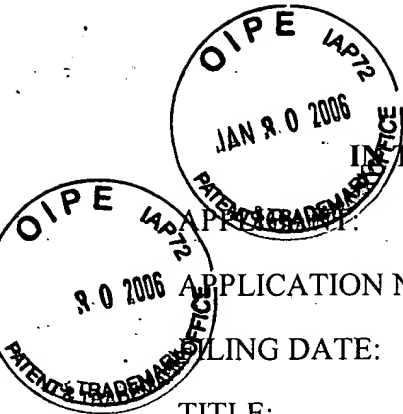
SIGNATURE OF ATTORNEY OR AGENT		
Signature:		
Attorney/Reg. No.:	Jennifer R. Bush; Reg. No.: 50,784	Dated: January 25, 2006

CERTIFICATE OF MAILING		
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.		
Signature:		
Typed or Printed Name:	Jennifer R. Bush	Dated: January 25, 2006
Express Mail Mailing Number (optional):		

Patent Fee Transmittal Form for FY 2006 <small>Patent fees are subject to annual revision.</small> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Complete if Known Application Number: 09/882,733 Filing Date: June 15, 2001 First Named Inventor: Mohan Sankaran Examiner Name: James A. Reagan Art Unit: 3621 Attorney Docket No.: 23402-09419 (INFO-P016)	
TOTAL AMOUNT OF PAYMENT (\$ 180.00)			

METHOD OF PAYMENT (check all that apply) <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account: Deposit Account Number: 19-2555 Deposit Account Name: Fenwick & West LLP The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				FEE CALCULATION (continued)																																																																																																																																																																																					
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unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,500</td><td>2453</td><td>750</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,400</td><td>2501</td><td>700</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>800</td><td>2502</td><td>400</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>1100</td><td>2503</td><td>550</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td></td><td>1460</td><td></td><td>Petitions to the Director</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee for Provisional Applications</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td>180.00</td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>790</td><td>2809</td><td>395</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>790</td><td>2810</td><td>395</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>790</td><td>2801</td><td>395</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - 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SUBMITTED BY				Complete (if applicable)	
Name (Print/Type): Jennifer R. Bush		Registration No. (Attorney/Agent): 50,784		Telephone (650) 335-7213	
Signature: 		Date: January 25, 2006			



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mohan Sankaran et al.

APPLICATION NO.: 09/882,733

FILED DATE: June 15, 2001

TITLE: Method and System for Providing Transfer of Analytic Application Data Over A Network

EXAMINER: James A. Reagan

GROUP ART UNIT: 3621

ATTY. DKT. NO.: 23402-08572 (INFO-P016)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: January 25, 2006

By: [Signature]
Jennifer R. Bush; Reg. No.: 50,784

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ALEXANDRIA, VA 22313-1450

02/01/2006 HTECKLU1 00000026 09882733

01 FC:1806

INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

PATENT

- ☒ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
- ☒ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
- ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained

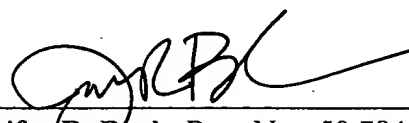
in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(d); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☒ Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☐ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

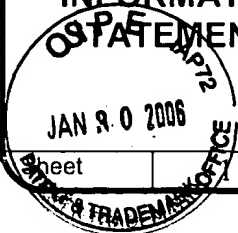
Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
MOHAN SANKARAN ET AL.

Dated: January 25, 2006

By: 
Jennifer R. Bush; Reg. No.: 50,784
Fenwick & West LLP
Silicon Valley Center
801 California Street
Mountain View, CA 94041
Tel.: (650) 335-7213
Fax: (650) 938-5200

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT 		Application No.	09/882,733
		Filing Date	June 15, 2001
		First Named Inventor	Mohan Sankaran
		Art Unit	3621
		Examiner Name	James A. Reagan
		Attorney Docket Number	23402-09419 (INFO-P016)
Sheet	of	11	

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-2002/0035565	03-2002	Shah et al.
	A2	US-2002/0056081	05-2002	Morley et al.
	A3	US-2002/0059267	05-2002	Shah et al.
	A4	US-2002/0077787	06-2002	Rappaport et al.
	A5	US-2002/0099691	07-2002	Lore et al.
	A6	US-2002/0112237	08-2002	Kelts, Brett R.
	A7	US-2002/0161770	10-2002	Shapiro et al.
	A8	US-2002/0172247	09-2003	Bayer et al.
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
				Application No.	09/882,733
				Filing Date	June 15, 2001
				First Named Inventor	Mohan Sankaran
				Art Unit	3621
				Examiner Name	James A. Reagan
Sheet	2	of	11	Attorney Docket Number	23402-09419 (INFO-P016)

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				Filing Date	June 15, 2001
				First Named Inventor	Mohan Sankaran
				Art Unit	3621
				Examiner Name	James A. Reagan
Sheet	6	of	11	Attorney Docket Number	23402-09419 (INFO-P016)

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
	B1	EP 0987868 A3	03-2000	Schwartz, Bruce V.	
	B2	EP 1043671 A2	10-2000	Bird et al.	
	B3	EP 1164511 A2	12-2001	Griffin et al.	
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	B6	WO 00/04466	01-2000	Suresh, Sankaran	
	B7	WO 00/08581	02-2000	Harvest Technology Inc.	
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	B9	WO 01/18728	03-2001	GETAPPROVED COM LLC	
	B10	WO 01/20438	03-2001	Ericsson Inc.	
	B11	WO 01/24040	05-2001	HNC Software, Inc.	
	B12	WO 02/44953	06-2002	Intel Corp.	
	B13	WO 99/24922	05-1999	Zamanian, M et al.	

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ⁶
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	C2	"A Process for Selective Routing of Servlet Transcoding Modules," IBM Technical Disclosure Bulletin, Vol. 42, Issue 422, June 1999 UK.			
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Sheet	7	of	11	Attorney Docket Number	23402-09419 (INFO-P016)

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		pp. 43-53.	
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Sheet	9	of	11	Attorney Docket Number	23402-09419 (INFO-P016)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	09/882,733
				Filing Date	June 15, 2001
				First Named Inventor	Mohan Sankaran
				Art Unit	3621
				Examiner Name	James A. Reagan
Sheet	10	of	11	Attorney Docket Number	23402-09419 (INFO-P016)

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				First Named Inventor	Mohan Sankaran
				Art Unit	3621
				Examiner Name	James A. Reagan
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